

# Application Form

Australian Proprietary Company or Australian Public Company

This Application Form relates to the application for investment in the RMBL Mortgage Income Investments Scheme ARSN 091 248 289 (the Scheme) under the Product Disclosure Statement dated 20 September 2017 (PDS) issued by RMBL Investments Ltd (ACN 004 493 789) AFSL No. 223246 (RMBL) as the responsible entity of the Scheme. This form must be accompanied by the PDS when provided to any person. Unless otherwise defined, capitalised terms in this Application Form have the meaning given to them in the PDS.

Please use black or blue pen and print in CAPITAL LETTERS and tick where appropriate

***If you are an existing Member and your details have not changed since your last Application, you are only required to complete Sections 1, 2, 4, 9, 12 and 13 of this Application form. If any of your details have changed, please complete the relevant section(s) of the Application form to notify us of the changes.***

## 1. APPLICATION FUNDS

I/WE apply to invest \$ \_\_\_\_\_ Deposit ID: \_\_\_\_\_ (RMBL USE ONLY)

## 2. MEMBER DETAILS

Full Company Name: \_\_\_\_\_

ACN: \_\_\_\_\_ ABN: \_\_\_\_\_

Is the company a proprietary or public company? Proprietary  Public

## 3. DIRECTOR(S) DETAILS

If you are a proprietary company, provide the full name of each director (if there are more directors please attach a separate sheet listing all names):

### Director 1

Full name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Mobile No: \_\_\_\_\_

Email address: \_\_\_\_\_

### Director 3

Full name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Mobile No: \_\_\_\_\_

Email address: \_\_\_\_\_

### Director 2

Full name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Mobile No: \_\_\_\_\_

Email address: \_\_\_\_\_

### Director 4

Full name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Mobile No: \_\_\_\_\_

Email address: \_\_\_\_\_

#### 4. COMPANY ADDRESS

##### Registered office address (PO Box is NOT acceptable):

Street: \_\_\_\_\_ Suburb: \_\_\_\_\_

State: \_\_\_\_\_ Postcode: \_\_\_\_\_ Country: \_\_\_\_\_

##### Principal place of business (If any - PO Box is NOT acceptable):

Street: \_\_\_\_\_ Suburb: \_\_\_\_\_

State: \_\_\_\_\_ Postcode: \_\_\_\_\_ Country: \_\_\_\_\_

##### Mailing address:

Street or PO Box: \_\_\_\_\_ Suburb: \_\_\_\_\_

State: \_\_\_\_\_ Postcode: \_\_\_\_\_ Country: \_\_\_\_\_

#### 5. CONTACT DETAILS

Telephone (preferred No): \_\_\_\_\_ Fax: \_\_\_\_\_

Email address (preferred address): \_\_\_\_\_

*By providing your email, you agree to accept electronic communications; and  
By providing your fax number you agree to accept communication by fax.*

#### 6. BENEFICIAL OWNERSHIP - Verification of Identity is required for each individual listed

Are there any individuals who ultimately own 25% or more of the company's issued share capital (through direct or indirect shareholdings)?

Yes  Complete 6.1                      No  Complete 6.2

##### 6.1 Shareholder Beneficial Owners

Provide the names of the individuals who ultimately own 25% or more of the company's issued share capital (through direct or indirect shareholdings)

Full Name: \_\_\_\_\_ Date of Birth:        /        / \_\_\_\_\_

Are you a Politically Exposed Person?                      Yes                       No

Full Name: \_\_\_\_\_ Date of Birth:        /        / \_\_\_\_\_

Are you a Politically Exposed Person?                      Yes                       No

##### 6.2 Other Beneficial Owners (Individuals who do not meet the requirement of 6.1 but who directly or indirectly control the company)

Full Name: \_\_\_\_\_ Date of Birth:        /        / \_\_\_\_\_

Are you a Politically Exposed Person?                      Yes                       No

Full Name: \_\_\_\_\_ Date of Birth:        /        / \_\_\_\_\_

Are you a Politically Exposed Person?                      Yes                       No

If there are more Beneficial Owners, please provide details on a separate sheet and tick this box

A Politically Exposed Person (Refer to Section 22.2 of the PDS) includes a head of state or government, government minister or senior politician, senior government official, judge, governor of central bank or any other person who holds a position of influence with a reserve bank, senior foreign representative high ranking members of the armed forces or board chair or senior executive of a state owned enterprise or the immediate family member or associate of any such person.

7. TAX INFORMATION

A. Is the **Company** a tax resident of Australia? Yes  No

If yes, please provide the Tax File Number: \_\_\_\_\_

B. Is the **Company** a tax resident of a country other than Australia? Yes  No

If Yes, please provide the Company's country of tax residence and Tax Identification Number (TIN) or equivalent below:

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or Employer Identification Number in the U.S.

Country: \_\_\_\_\_ TIN: \_\_\_\_\_ If no TIN please indicate **A, B or C** \_\_\_\_\_

If the company is tax resident of more than one other country, please provide details on a separate sheet of all relevant countries and tick this box

**Reason A** The country of tax residency does not issue TINs to tax residents

**Reason B** The individual has not been issued with a TIN

**Reason C** The country of tax residency does not require the TIN to be disclosed

C. Tick ONE of the boxes below.

If the company is a **Financial Institution**, please provide all the requested information and also complete **Section 12** (Verification of Identity of Beneficial Owners)

**A Financial Institution** [A custodial or depository institution, an investment entity or a specified insurance company for Foreign Account Tax Compliance Act (FATCA) and Commons Reporting Standard (CRS) purposes]

Provide the company's Global Intermediary identification Number (GIIN) if applicable \_\_\_\_\_

If the company is a Financial Institution but does not have a GIIN, provide the FATCA status (Tick ONE of the following statuses)

- Deemed Compliant Financial Institution
- Excepted Financial Institution
- Exempt Beneficial Owner
- Non Reporting IGA Financial Institution
- Non participating Financial Institution
- Other (describe the company's FATCA status in the box )

**Australian Public Listed Company, Majority Owned Subsidiary of an Australian Public Listed company or Australian Registered Charity**

**An Active Non-Financial Entity (NFE)**

**Other** (Entities not previously listed – Passive Non-Financial Entities)

Are any of the company's Beneficial Owners tax residents of countries other than Australia?

Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the U.S., tax residency can be as a result of citizenship or residency.

Beneficial Owner 1 Yes  No

Beneficial Owner 2 Yes  No

If there are more Beneficial Owners, please provide details on a separate sheet and tick this box

8. INTEREST PAYMENT

**Option to Reinvest interest payment** (Please refer to Section 7.10 of the PDS)

Do you wish to reinvest in the Scheme?

Please tick the appropriate box (if yes, you do not have to provide Bank Account Details) Yes  No

**Bank Account Details** (for interest payment by direct deposit)

Account Name: \_\_\_\_\_

Bank: \_\_\_\_\_ Branch: \_\_\_\_\_

BSB: \_\_\_\_\_ Account Number: \_\_\_\_\_

9. You may pay APPLICATION FUNDS to RMBL by Cheque, Electronic Funds Transfer (EFT) or Direct Debit Request (DDR)

**By Cheque** - Please attach your personal or bank cheque payable to **RMBL Investments Limited**

**Electronic Funds Transfer (EFT)**

Account Name: RMBL Mortgage Income Investments

Bank: Westpac Banking Corporation BSB: 033-186 Account No: 172767

**REFERENCE: It is important to include the investing entity name or Deposit ID (if known) as a reference. If we are unable to match your application to a payment, your application may be delayed.**

**RMBL Investments Limited (RMBL) - Direct Debit Request (DDR)**

I/We authorise RMBL to arrange for funds in the amount of \$\_\_\_\_\_ to be debited from my/our nominated Bank Account at the financial institution shown below on \_\_\_\_/\_\_\_\_/\_\_\_\_ for First Mortgage investment in accordance with the PDS dated 20 September 2017:

All Account Holder Name: \_\_\_\_\_

Bank and Branch: \_\_\_\_\_

BSB: \_\_\_\_\_ Account Number: \_\_\_\_\_

Authorised Signature(s) \_\_\_\_\_

\_\_\_\_\_

## 10. MEMBER NOMINATED REPRESENTATIVE (Please refer to Section 19 of the PDS)

I/We have read the section regarding the Member Nominated Representative in the PDS and wish to appoint the following party to represent and deal with my investment in the Scheme:

Name of Representative: \_\_\_\_\_

Their capacity: \_\_\_\_\_

Their Signature: \_\_\_\_\_

***RMBL may request additional documentation from the Member Nominated Representative.***

## 11. VERIFICATION OF IDENTITY (BENEFICIAL OWNERS)

Along with the Application Form, RMBL is required to verify the identity of Beneficial Owners (refer to section 6)

**Certified copy(ies) required of:**

### **Acceptable Primary Photographic ID Documents**

**Tick** **Select ONE option from this section only OR TWO Secondary Documents (below)**

- Australian State/Territory driver's licence containing a photograph of the person
- Australian passport (a passport that has expired within the preceding 2 years is acceptable)
- Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person
- Foreign passport containing a photograph and the signature of the person (Documents not written in English must be accompanied by an English translation prepared by an accredited translator)

### **Acceptable Secondary Photographic ID Documents**

**Tick** **Select ONE valid option from this section**

- Australian Birth Certificate
- Australian Citizenship Certificate
- Pension Card issued by the Department of Human Services (previously Centrelink)

**Tick** **AND ONE valid option from this section**

- A document issued by the Commonwealth or a State or Territory within the preceding 12 months, which contains the individual's name and residential address
- A document issued by the Australian Taxation Office within the preceding 12 months, which contains the individual's name and residential address
- A document issued by a local government body or utilities provider within the preceding 3 months, which records the provision of services to that address or that person (the document must contain the individual's name and residential address)
- If under the age of 18, a notice that: was issued to the individual by a school principal within the preceding 3 months; and contains the name and residential address; and records the period of time that the individual attended the school

12. SPECIAL INSTRUCTIONS (if any - please refer to Section 6 of the PDS)

Do you have a preference with regards property types, splitting of funds, etc?
--

13. SIGNATURE(S) BY APPLICANT - must be signed by two directors/director and secretary/sole director

I/We acknowledge having received, read and understood the information contained in the Product Disclosure Statements (PDS) dated 20 September 2017.

By signing this Application I/we agree to be bound by the terms and conditions of the RMBL Mortgage Income Investments Constitution.

Signature	Signature
-----------	-----------

Full Name: \_\_\_\_\_ Full Name: \_\_\_\_\_

Capacity: \_\_\_\_\_ Capacity: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

**OFFICE USE ONLY**

<b>DDR</b>	The Members have confirmed that funds in the nominated bank account are clear and RMBL may process the direct debit on the date nominated	<b>Initial:</b>
<b>Senior Management Acknowledgment</b> The Member(s) has been issued with the Product Disclosure Statements (PDS) dated 20 September 2017 before completing and returning the Application form.		<b>Initial:</b>

Member: \_\_\_\_\_ Deposit ID: \_\_\_\_\_

Date Entered: \_\_\_\_/\_\_\_\_/\_\_\_\_ Operator: \_\_\_\_\_ Approved: \_\_\_\_\_